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CERTIFICATE OF TRANSMISSION UNDER 37 CFR 1.8

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Dilara S. Haddad

April 27, 2006

CUSTOMER NO. 36257

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jeffrey W. LUTZE et al.  
Title: Self Aligned Non-Volatile Memory Cells and Processes for Fabrication  
Application No.: 10/799,060 Filing Date: March 12, 2004  
Examiner: Mai Huong C. TRAN Group Art Unit: 2818  
Docket No.: SNDK.352US0 Conf. No.: 6740

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) call(s) the documents listed on the enclosed Form PTO-1449 to the Examiner's attention in this patent application.

☒ According to 37 C.F.R. 1.98(2)(ii), copies of the U.S. Patents and U.S. Published Patent Applications documents are not required and are therefore not enclosed.

Attorney Docket No.: SNDK.352US0

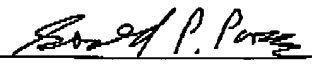
Application No.: 10/799,060

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Citation of these documents shall not be construed as (1) an admission that the documents are prior art with respect to the invention or inventions claimed in this application, (2) a representation that a search has been made (other than as indicated by any cited document), or (3) an admission that the cited information is, or is considered to be, material to patentability as defined in § 1.56(b).

This information disclosure statement is submitted under 37 C.F.R. § 1.97(b) and consequently no fee should be required. The Commissioner is authorized, however, to charge any fee that may be required, or to credit any overpayment, against Deposit Account No. 502664.

Respectfully submitted,

  
Gerald P. Parsons  
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April 27, 2006  
Date

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Attorney Docket No.: SNDK.352US0

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- 2 of 2 -

Sheet 1 of 1

U.S. Department of Commerce, Patent and Trademark				Atty. Docket No.			Application No.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				SNDK.352US0			10/799,060	
				Applicant(s)			Conf. No.	
(Use several sheets if necessary)				Jeffrey W. LUTZE et al.			6740	
(Form PTO-1449)				Filing Date			Art Group	
				March 12, 2004			2818	
<b>U.S. Patent Documents</b>								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	1	5,343,063	08-1994	Yuan et al.				
	2	6,297,097	10-2001	Jeong, Hee-Cheol				
	3	6,713,834	03-2004	Mori et al.				
<b>U.S. Published Patent Application Documents</b>								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
<b>Foreign Patent Documents</b>								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
Examiner			Date Considered					
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.</p>								